

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	31611.0028
First Inventor	O'Brien et al.
Title	Nanotube Coatings For Implantable Electrodes
Express Mail Label No.	EU940429279US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>16</u> /]
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>4</u> /]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> /]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|--|

17858 U.S. PTO
10/719632

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Credit Card Forms for \$962.00 and \$40.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		33751		OR <input type="checkbox"/> Correspondence address below	
NAME		Michael F. Scalise			
		Wilson Greatbatch Technologies, Inc.			
ADDRESS		10,000 Wehrle Drive			
CITY	Clarence	STATE	New York	ZIP CODE	14031
COUNTRY	USA	TELEPHONE	(716) 759-5810	FAX	(716) 759-5074
Name (Print/Type)	Michael F. Scalise		Registration No. (Attorney/Agent)	34,920	
Signature			Date	November 21, 2003	

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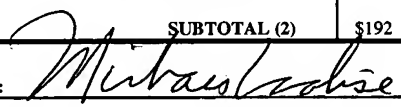
Date of Deposit November 21, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Rosemarie Contella
Name

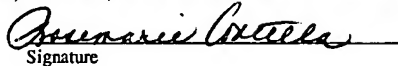
Signature

PTO/SB/17 (11/01) Approved for use through 10/31/2002, OMB 0651-0032 FEE TRANSMITTAL for FY 2002 <i>Patent Fees are subject to annual revision.</i>		Application Number		
		Filing Date		November 21, 2003
		First Named Inventor		O'Brien et al.
		Examiner Name		
		Group/Art Unit		
G Applicant claims small entity status. See 37 CFR 1.27.		Attorney Docket Number		31611.0028
TOTAL AMOUNT OF PAYMENT		(\$) <u>1,002.00</u>		

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)							
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None Deposit Account: Deposit Account Number: <u>502460</u> Deposit Account Name: _____ The Commissioner is hereby authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account				3. ADDITIONAL FEES							
				<u>Large Entity</u>		<u>Small Entity</u>					
				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
				105	130	205	65	Surcharge - late filing fee or oath	\$		
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$		
				139	130	139	130	Non-English specification	\$		
				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$		
1. BASIC FILING FEE				112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$		
<u>Large Entity</u> <u>Small Entity</u>				113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid						
101	770	201	385	Utility filing fee	\$770	115	110	Extension for reply within first month	\$		
106	340	206	170	Design filing fee	\$	116	420	Extension for reply within second month	\$		
107	530	207	265	Plant filing fee	\$	117	950	Extension for reply within third month	\$		
108	770	208	385	Reissue filing fee	\$	118	1,480	Extension for reply within fourth month	\$		
114	160	214	80	Provisional filing fee	\$	128	2,010	Extension for reply within fifth month	\$		
SUBTOTAL (1)				119	330	219	165	Notice of Appeal	\$		
2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE				120	330	220	165	Filing a brief in support of an appeal	\$		
Extra Fee from				121	290	221	145	Request for oral hearing	\$		
Claims below				138	1,510	138	1,510	Petition to institute a public use proceeding	\$		
Total Claims / 26 / - 20** = / 6 / x / 18 / =				\$108							
Independent Claims / 4 / - 3** = / 1 / x / 84 / =				\$84	140	110	240	55	Petition to revive - unavoidable	\$	
Multiple dependent / / x / / =				\$	141	1,330	241	665	Petition to revive - unintentional	\$	
<u>Large Entity</u> <u>Small Entity</u>				142	1,330	242	665	10 advance copies	\$		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description							
103	18	203	9	Claims in excess of 20		143	480	243	240	Design issue fee	\$
102	86	202	43	Independent claims in excess of 3		144	640	244	320	Plant issue fee	\$
104	290	204	145	Multiple dependent claim if not paid		122	130	122	130	Petitions to the Commissioner	\$
109	86	209	43	**Reissue independent claims over original patent		123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
110	18	210	9	**Reissue claims in excess of 20 and over original patent		126	180	126	180	Submission of Information Disclosure Statement	\$
SUBTOTAL (2)				\$192	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40	
SIGNATURE: 				146	770	246	385	Filing a submission after final rejection(37 CFR 1.129(a))	\$		
Michael F. Scalise Reg. No. 34,920				149	770	249	385	For each add'l invention to be examined(37 CFR 1.129(b))	\$		
DATE: November 21, 2003 Telephone: (716) 759-5810				179	770	279	385	Request For Continued Examination (RCE)	\$		
				169	900	169	900	Request for Expedited Examination of a design appln.	\$		
				Other fee (specify) _____					\$		
				*Reduced by basic filing fee paid					\$		
				SUBTOTAL (3)					\$40		

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Rosemarie Contella
Name
SignatureNovember 21, 2003
Date of Signature